#### CIS 521: ARTIFICIAL INTELLIGENCE

# Constraint Satisfaction Problems

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#### What is Search For?

 Assumptions about the world: a single agent, deterministic actions, fully observed state, discrete state space

#### • Planning: sequences of actions

- The path to the goal is the important thing
- Paths have various costs, depths
- Heuristics give problem-specific guidance

#### $\circ$ Identification: assignments to variables

- The goal itself is important, not the path
- All paths at the same depth (for some formulations)
- CSPs are specialized for identification problems



# Big idea

- Represent the *constraints* that solutions must satisfy in a uniform *declarative* language
- Find solutions by *GENERAL PURPOSE* search algorithms with no changes from problem to problem
  - No hand-built transition functions
  - No hand-built heuristics
- Just specify the problem in a formal declarative language, and a generalpurpose algorithm does everything else!

#### **Constraint Satisfaction Problems**

#### A CSP consists of:

- Finite set of variables  $X_1, X_2, ..., X_n$
- Nonempty **domain** of possible values for each variable  $D_1, D_2, \dots D_n$  where  $D_i = \{v_1, \dots, v_k\}$
- Finite set of constraints  $C_1, C_2, ..., C_m$ 
  - Each constraint  $C_i$  limits the values that variables can take, e.g.,  $X_1 \neq X_2$  A state is defined as an assignment of values to some or all variables.

#### $\odot$ A $\ensuremath{\textit{consistent}}$ assignment does not violate the constraints.

o Example problem: Sudoku

## Constraints in Sudoku



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# Constraints in Sudoku All different

8			4	7	3			
	2	6	8	5	1		9	
		5				8		
	1	3			8	4		
6		7	3		2	9		
	5			9	7	6		8
	6	2	7	3		5		
	3		2			7		6
4			6				2	

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# Constraints in Sudoku All different



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#### Constraint satisfaction problems

- An assignment is *complete* when every variable is assigned a value.
- A *solution* to a CSP is a *complete, consistent* assignment.
- Solutions to CSPs can be found by a completely *general purpose* algorithm, given only the formal specification of the CSP.
- Beyond our scope: CSPs that require a solution that maximizes an *objective function*.



#### Applications

- Map coloring
- Scheduling problems
  - Job shop scheduling
  - Scheduling the Hubble Space Telescope
- Floor planning for VLSI
- $\circ$  Sudoku
- 0 ...



# Example: Map-coloring



- Variables: WA, NT, Q, NSW, V, SA, T
- **Domains:**  $D_i = \{red, green, blue\}$
- o Constraints: adjacent regions must have different colors
  - e.g., WA ≠ NT
    - So (WA,NT) must be in {(red,green),(red,blue),(green,red), ...}

# Example: Map-coloring



Solutions: complete and consistent assignments

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e.g., WA = red, NT = green, Q = red, NSW = green,
V = red, SA = blue, T = green

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### Benefits of CSP

- Clean specification of many problems, generic goal, successor function & heuristics
  - Just represent problem as a CSP & solve with general package
- CSP "knows" which variables violate a constraint
  - And hence where to focus the search
- CSPs: Automatically prune off all branches that violate constraints
  - (State space search could do this only by hand-building constraints into the successor function)



# **CSP** Representations

- Constraint graph:
  - nodes are variables
  - arcs are (binary) constraints
- Standard representation pattern:
  - variables with values
- Constraint graph simplifies search.
  - e.g. Tasmania is an independent subproblem.
- This problem: A binary CSP:
  - each constraint relates two variables



# Varieties of CSPs

- Discrete variables
  - finite domains:
    - *n* variables, domain size  $d \rightarrow O(d^n)$  complete assignments
    - e.g., Boolean CSPs, includes Boolean satisfiability (NP-complete)
  - infinite domains:
    - integers, strings, etc.
    - e.g., job scheduling, variables are start/end days for each job
    - need a constraint language, e.g., *StartJob*<sub>1</sub> +  $5 \leq StartJob_3$
- o Continuous variables
  - e.g., start/end times for Hubble Space Telescope observations
  - linear constraints solvable in polynomial time by linear programming

# Varieties of constraints

- Unary constraints involve a single variable,
  - e.g., SA ≠ green
- Binary constraints involve pairs of variables,
  - e.g., SA ≠ WA
- *Higher-order* constraints involve 3 or more variables
  - e.g., crypt-arithmetic column constraints
- *Preference* (soft constraints) e.g. *red* is better than *green* can be represented by a cost for each variable assignment
  - Constrained optimization problems.

#### Idea 1: CSP as a search problem

- $\odot~$  A CSP can easily be expressed as a search problem
  - Initial State: the empty assignment {}.
  - Successor function: Assign value to any unassigned variable provided that there is not a constraint conflict.
  - Goal test: the current assignment is complete.
  - Path cost: a constant cost for every step.
- Solution is always found at depth *n*, for *n* variables
  - Hence Depth First Search can be used

# Search and branching factor

![](_page_16_Figure_1.jpeg)

. . .

• n variables of domain size d

- Branching factor at the root is n\*d
- Branching factor at next level is (n-1)\*d
- Tree has n!\*d<sup>n</sup> leaves

# Search and branching factor

![](_page_17_Picture_1.jpeg)

- The variable assignments are *commutative* 
  - Eg [ step 1: WA = red; step 2: NT = green ] equivalent to [ step 1: NT = green; step 2: WA = red ]
  - Therefore, a tree search, not a graph search
- Only need to consider assignments to a single variable at each node
  - b = d and there are  $d^n$  leaves (*n* variables, domain size d)

# Search and Backtracking

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- Depth-first search for CSPs with single-variable assignments is called backtracking search
- The term backtracking search is used for a depth-first search that chooses values for one variable at a time and backtracks when a variable has no legal values left to assign.
- **o** Backtracking search is the basic *uninformed* algorithm for CSPs

How does this backtracking search differ from our previous formulation of a DFS?

# Backtracking example

![](_page_19_Figure_1.jpeg)

![](_page_19_Picture_2.jpeg)

# Backtracking example

![](_page_20_Picture_1.jpeg)

![](_page_20_Picture_2.jpeg)

![](_page_20_Picture_3.jpeg)

#### Idea 2: Improving backtracking efficiency

- *General-purpose* methods & *general-purpose* heuristics can give huge gains in speed, *on average*
- Heuristics:
  - Q: Which variable should be assigned next?
    - 1. Most constrain*ed* variable
    - 2. (if ties:) Most constraining variable
  - Q: In what order should that variable's values be tried?
    - 3. Least constraining value
  - Q: Can we detect inevitable failure early?
    - 4. Forward checking

# Heuristic 1: Most constrained variable

 Choose a variable with the fewest legal values

![](_page_22_Figure_2.jpeg)

![](_page_22_Figure_3.jpeg)

# Heuristic 2: Most constrain*ing* variable

- Tie-breaker among most constrained variables
- Choose the variable with the most constraints on remaining variables

These two heuristics together lead to immediate solution of our example problem

![](_page_23_Picture_5.jpeg)

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# Heuristic 3: Least constraining *value*

- Given a variable, choose the least constraining value:
  - the one that rules out the fewest values in the remain

Allows 1 value for SA

Allows 0 values for SA

Note: demonstrated here independent of the other heuristics

![](_page_24_Picture_6.jpeg)

![](_page_24_Picture_7.jpeg)

# Heuristic 4: Forward checking

#### o **Idea:**

- Keep track of *remaining* legal values for *unassigned* variables
- Terminate search when any unassigned variable has no remaining legal values

![](_page_25_Figure_4.jpeg)

Northern Territory

Queensla

# Forward checking

- o Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any unassigned variable has no remaining legal values

![](_page_26_Figure_4.jpeg)

![](_page_26_Picture_5.jpeg)

Northern Territory

Queens

Western Australia

# Forward checking

- o Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any unassigned variable has no remaining legal values

![](_page_27_Figure_4.jpeg)

Northern Territory

Queens

Western Australia

# Forward checking

- o Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any unassigned variable has no remaining legal values

![](_page_28_Figure_4.jpeg)

Northern Territory

Queensi

Western Australia

2

3

4

![](_page_29_Figure_1.jpeg)

Assign value to unassigned variable

2

3

4

![](_page_30_Figure_1.jpeg)

Forward check!

2

3

4

![](_page_31_Figure_1.jpeg)

Assign value to unassigned variable

2

3

4

![](_page_32_Figure_1.jpeg)

Forward check!

Picking up a little later after two steps of backtracking....

![](_page_33_Figure_2.jpeg)

![](_page_33_Figure_3.jpeg)

Assign value to unassigned variable

![](_page_34_Figure_1.jpeg)

Forward check!

![](_page_35_Figure_1.jpeg)

Assign value to unassigned variable

1

2

3

![](_page_36_Figure_1.jpeg)

Forward check!

2

3

4

![](_page_37_Figure_1.jpeg)

Assign value to unassigned variable

1

2

3

4

![](_page_38_Figure_1.jpeg)

Forward check!

2

3

4

![](_page_39_Figure_1.jpeg)

Assign value to unassigned variable

# Towards Constraint propagation

 Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:

![](_page_40_Figure_2.jpeg)

- NT and SA cannot both be blue!
- Constraint propagation goes beyond forward checking & repeatedly enforces constraints locally

#### Arc Consistency, Constraint Propagation & AC-3

# Idea 3 (*big* idea): *Inference* in CSPs

#### **o** CSP solvers combine search *and inference*

- Search
  - assigning a value to a variable
- Constraint propagation (inference)
  - Eliminates possible values for a variable if the value would violate local consistency
- Can do inference first, or intertwine it with search
  - You'll investigate this in the Sudoku homework

#### Local consistency

- Node consistency: satisfies unary constraints
  - This is trivial!
- Arc consistency: satisfies binary constraints
  - (X<sub>i</sub> is arc-consistent w.r.t. X<sub>i</sub> if for every value v in D<sub>i</sub>, there is some value w in D<sub>j</sub> that satisfies the binary constraint on the arc between X<sub>i</sub> and X<sub>j</sub>)

#### **CSP** Representations

- Constraint graph:
  - nodes are variables
  - edges are constraints

![](_page_43_Picture_4.jpeg)

# Edges to Arcs: From Constraint Graph to Directed Graph

- Given a pair of nodes X<sub>i</sub> and X<sub>j</sub> connected by a constraint *edge*, we represent this not by a single undirected edge, but a *pair of directed arcs*.
  - For a connected pair of nodes X<sub>i</sub> and X<sub>j</sub>, there are two arcs that connect them: (*i*,*j*) and (*j*,*i*).

 $X_i$ 

(i,j)

(i.i)

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- Simplest form of propagation makes each arc consistent
- $X \rightarrow Y$  is consistent iff for every value x of X there is some allowed y

![](_page_45_Figure_3.jpeg)

![](_page_45_Picture_4.jpeg)

- Simplest form of propagation makes each arc consistent
- $X \rightarrow Y$  is consistent iff for every value x of X there is some allowed y

![](_page_46_Figure_3.jpeg)

- Simplest form of propagation makes each arc consistent
- $X \rightarrow Y$  is consistent iff for every value x of X there is some allowed y

![](_page_47_Figure_3.jpeg)

• If X loses a value, recheck neighbors of X

- Simplest form of propagation makes each arc consistent
- $\bigcirc X \rightarrow Y \text{ is consistent iff} \\ \text{for every value } x \text{ of } X \text{ there is some allowed } y$

![](_page_48_Figure_3.jpeg)

- O Detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment

![](_page_48_Figure_6.jpeg)

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# Arc Consistency

An arc (*i*,*j*) is arc consistent if and only if every value v on  $X_i$  is consistent with some label on  $Y_j$ .

To make an arc *(i,j)* arc consistent, for each value *v* on *X<sub>i</sub>*, if there is no label on *Y<sub>j</sub>* consistent with *v* then remove *v* from *X<sub>i</sub>* 

• Given *d* values, checking arc (i,j) takes  $O(d^2)$  time worst case

#### 50

# Example: The Waltz Algorithm

- The Waltz algorithm is for interpreting line drawings of solid polyhedra as 3D objects
- An early example of an Al computation posed as a CSP

![](_page_50_Figure_3.jpeg)

Slide credit: Dan Klein and Pieter Abbeel http://ai.berkeley.edu

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![](_page_50_Picture_5.jpeg)

- Approach:
  - Each intersection is a variable
  - Adjacent intersections impose constraints on each other
  - Solutions are physically realizable 3D interpretations

# Replacing Search: Constraint Propagation Invented...

**Dave Waltz's insight:** 

- By *iterating* over the graph, the arc-consistency *constraints* can be *propagated* along arcs of the graph.
- Search: Use constraints to add labels to find one solution
- Constraint Propagation: Use constraints to eliminate labels to simultaneously find all solutions

![](_page_51_Picture_5.jpeg)

# The Waltz/Mackworth Constraint Propagation Algorithm

- 1. Assign *every* node in the constraint graph a set of *all* possible values
- 2. Repeat until there is no change in the set of values associated with any node:
  - 3. For each node i:
    - 4. For each neighboring node j in the picture:
      - 5. Remove any value from *i* which is not arc consistent with *j*.

## Inefficiencies: Towards AC-3

- 1. At each iteration, we only need to examine those  $X_i$  where at least one neighbor of  $X_i$  has lost a value in the previous iteration.
- 2. If  $X_i$  loses a value only because of arc inconsistencies with  $Y_j$ , we don't need to check  $Y_i$  on the next iteration.
- 3. Removing a value on  $X_i$  can only make  $Y_j$  arc-inconsistent with respect to  $X_i$  itself. Thus, we only need to check that (*j*,*i*) is still arc-consistent.

These insights lead a much better algorithm...

### AC-3

![](_page_54_Figure_1.jpeg)

function REMOVE-INCONSISTENT-VALUES( $X_i$ ,  $X_j$ ) return *true* iff we remove a value

 $removed \leftarrow false$ 

for each x in DOMAIN[X<sub>i</sub>] do

Add back arcs to neighbors whenever a node had values removed

# AC-3: Worst Case Complexity Analysis

- $\circ$  All nodes can be connected to *every* other node,
  - so each of *n* nodes must be compared against *n-1* other nodes,
  - so total # of arcs is **2\*n\*(n-1)**, *i.e.* **O**(**n**<sup>2</sup>)
- If there are *d* values, checking arc (i,j) takes *O*(*d*<sup>2</sup>) time
- Each arc (i,j) can only be inserted into the queue *d* times
- Worst case complexity: *O(n<sup>2</sup>d<sup>3</sup>)*

(For *planar* constraint graphs, the number of arcs can only be *linear in N* and the time complexity is only O(nd<sup>3</sup>))